



PTO/SB/08a/b (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>Substitute for form 1449A/B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete If Known</b>			
		Application Number	10/761,202-Conf. #2330		
		Filing Date	January 22, 2004		
		First Named Inventor	Guping TANG		
		Art Unit	<del>1045</del> 1636		
		Examiner Name	Not Yet Assigned Makar		
Sheet	1	of	1	Attorney Docket Number	4249-0115P

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (If known)			
KM	AA*	US-20030144222-A1	07-31-2003	Wang et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (If known)				
KM	BA	WO-2004/032862-A2	04-22-2004	INSERT THERAPEUTICS, INC.		✓
KM	BB	WO-03/072637-A1	09-04-2003	INSERT THERAPEUTICS, INC.		✓

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Kimberly Makar/	Date Considered	11/09/2006
-----------------------	------------------	--------------------	------------

Birch, Stewart, Kolasch &amp; Birch, LLP

DRN/lab



Form PTO-1449

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

(Use several sheets if necessary)

ATTY. DOCKET NO.  
4249-0115PAPPLICATION NO.  
10/761,202APPLICANT  
Guping TANG et al.FILING DATE  
January 22, 2004GROUP  
Unassigned**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	Kind	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	US						
	US						
	US						
	US						
	US						
	US						

**FOREIGN PATENT DOCUMENTS**

	Office	DOCUMENT NUMBER	Kind	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
								YES	NO

**OTHER DOCUMENTS**

(Include Name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.)

	<del>W.T. Godbey et al., "Size matters. Molecular weight affects the efficiency of poly(ethylenimine) as a gene delivery vehicle", pp. 268-275, 1998. not provided</del>
KM	Hidetoshi Arima et al., Bioconjugate Chem., Vol. 12, No. 4, pp. 476-484, 2001.
KM	Suzie J. Hwang et al., Bioconjugate Chem., Vol. 12, No. 2, pp. 280-290, 2001.
KM	Hector Gonzalez et al., Bioconjugate Chem., Vol. 10, No. 6, pp. 1068-1074, 1999.
KM	Michael A. Gosselin et al., Bioconjugate Chem., Vol. 12, No. 6, pp. 989-994, 2001.
KM	Holger Petersen et al., Bioconjugate Chem., Vol. 13, No. 4, pp. 812-821, 2002.
KM	Cheol-Hee Ahn et al., Journal of Controlled Release, Vol. 80, pp. 273-282, 2002.

EXAMINER

/Kimberly Makar/

DATE CONSIDERED

11/09/2006

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.